



sector #

PATENT

Case Docket No. CHMC7.001CP1

Date: September 8, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jeffrey A. Whitsett, M.D.  
App. No. : 09/558,576  
Filed : April 26, 2000  
For : SURFACTANT PROTEIN D  
FOR THE PREVENTION  
AND DIAGNOSIS OF  
PULMONARY  
EMPHYSEMA

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

September 11, 2000

(Date)

*Daniel E. Altman*  
Daniel E. Altman, Reg. No. 34,115

Group Art Unit : Unknown

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on July 11, 2000, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.

PATENT

Case Docket No. CHMC7.001CP1

Date: September 11, 2000

(X) Fees as calculated below:

---

SURCHARGE 37 CFR 1.16(e)	\$ + 130
--------------------------	----------

---

REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.

Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 65

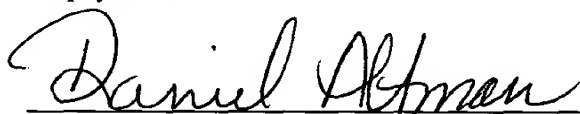
---

TOTAL FEES SUBMITTED HERewith	\$ 65
-------------------------------	-------

---

(X) A check in the amount of \$65 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.



Daniel E. Altman

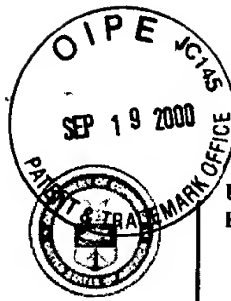
Registration No. 34,115

Attorney of Record

## FORMALITIES LETTER



\*OC00000005232201\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/558,576	04/26/2000	James Whitsett M.D.	CHMC7.001CP1

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 620 NEWPORT CENTER DRIVE  
 SIXTEENTH FLOOR  
 NEWPORT BEACH, CA 92660

Date Mailed: 07/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2000 NPRASASO 00000045 09558576

01 FC:205

65.00 0P